SEC Form 3 FORM 3

## UNITED STATES SECURITIES AND EXCHANGE

Washington, D.C. 20549

OMB APPROVAL

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## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> UNDERWOOD BAXTER			2. Date of E Requiring S (Month/Day 10/30/202	Statement //Year)	3. Issuer Name and Ticker or Trading Symbol SUN COMMUNITIES INC [ SUI ]					
(Last) 14785 PRE	(First) (M STON ROAD, 97	iddle) FH			4. Relationship of Reporting Person(s) to Issuer (Check all applicable)			5. If Amendment, Date of Original Filed (Month/Day/Year)		
FLOOR					Director10% OwnerXOfficer (give title below)Other (specify below)CEO - Safe Harbor Marinas, LLC			6. Individual or Joint/Group Filing (Check Applicable Line)		
(Street) DALLAS TX 75254										
(City)	(State) (Zi	0)								
Table I - Non-Derivative Securities Beneficially Owned										
1. Title of Security (Instr. 4)				2. Amount of Securities Beneficially Owned (Instr. 4)			4. Nature of Indirect Beneficial Ownership (Instr. 5)			
COMMON STOCK, \$0.01 PAR VALUE					69,368	D	D			
Table II - Derivative Securities Beneficially Owned           (e.g., puts, calls, warrants, options, convertible securities)										
		2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Se Underlying Derivative Se (Instr. 4)	curity Conver or Exer		rcise	5. Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr.	
			Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security		Direct (D) or Indirect (I) (Instr. 5)	5)
SERIES H PREFERRED OPERATING PARTNERSHIP UNITS <sup>(1)</sup>		P	(2)	(2)	COMMON STOCK, \$0.01 PAR VALUE	18,850	(2)		D	
SERIES H PREFERRED OPERATING PARTNERSHIP UNITS <sup>(1)</sup>		P	(2)	(2)	COMMON STOCK, \$0.01 PAR VALUE	37,623	(2)		I	See footnote <sup>(3)</sup>

## **Explanation of Responses:**

1. Issued by the Issuer's operating partnership, Sun Communities Operating Limited Partnership.

2. Each Series H Preferred OP Unit can be exchanged for a number of shares of the Issuer's common stock equal to the quotient obtained by dividing \$100.00 by \$164.00 (as such ratio is subject to adjustments for certain capital events) at the holder's option and have no expiration date.

3. Owned by a family limited partnership. The reporting person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein.

## **Remarks:**

Baxter R. Underwood

<u>11/06/2020</u>

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.